

**ASI Intake
Face Sheet**

Parent-Child Assistance Program (PCAP)

FADU, University of Washington
180 Nickerson, Seattle WA 98109 (206) 543-7155

Date of ASI (A): _____

Adv #: _____

ADDICTION SEVERITY INDEX – INTAKE INTERVIEW

Modified Interview for Pregnant & Postpartum Women

NOTICE TO STAFF: DO NOT SUBMIT THIS TOP SHEET TO DATA ENTRY. REMOVE AND FILE SEPARATELY.

Family I.D. # _____ Mother's birthdate: _____

Interviewer: _____ Enrollment date: _____ Delivery Hospital: _____

Child's Due Date/Birthdate: _____

Child's Gender: _____ Gestational Age: _____ weeks

Mother's PIC # _____

Child's PIC # _____

Location of TC: _____

Name of child: (first) _____ (last) _____ (middle) _____ (other) _____
Name of mother: (first) _____ (last) _____ (middle) _____ (maiden/other) _____ (nicknames/aliases) _____
Name of father: (first) _____ (last) _____ (middle) _____ (other) _____
Who are you living with? Names and relationship: _____
Address: _____ City State Zip
Phone: () _____ Name phone listed under: _____

Do you have any plans to move in the next few months? _____ (Where to?) _____

Are you employed outside the home now? _____ Where? _____

Type of work: _____ Phone: () _____

Are you in school? _____ What/where? _____

Where did you go for prenatal care? _____

Where do you plan to take the baby for checkups and medical care? _____

INTERVIEWER: ASK FOR REFERENCES AT END OF INTERVIEW:

Could you give me the names of relatives or friends who might know your whereabouts if you move and we lose contact with you, or if there's an emergency?

Name: _____
Address: _____
City, State, Zip: _____
Phone: () _____
Name listed under: _____
Relationship to you: _____
Place of Employment: _____ (& phone) () _____

Name: _____
Address: _____
City, State, Zip: _____
Phone: () _____
Name listed under: _____
Relationship to you: _____
Place of Employment: _____ (& phone) () _____

Name: _____
Address: _____
City, State, Zip: _____
Phone: () _____
Name listed under: _____
Relationship to you: _____
Place of Employment: _____ (& phone) () _____

Father of Baby Name: _____
Address: _____
City, State, Zip: _____
Phone: () _____
Name listed under: _____
Place of Employment: _____ (& phone) () _____

When you're using, where are you likely to go, where might we find you? _____

Addiction Severity Index 5th Edition
University of Washington Modification for Pregnant & Postpartum Women (PCAP-ASI)

The PCAP-ASI is a modified version of the 5th edition of the ASI. It includes all items from the 5th edition ASI along with additional questions specific to pregnant and postpartum women. It contains 9 potential problem areas, as well as family/childhood history.

INTRODUCING THE ASI: Introduce and explain the nine potential problem areas: Medical, Employment/Support Status, Alcohol, Drug, Legal, Family/Social, Psychiatric, Children and Family Planning, and Community Services and that some questions will also be asked about childhood history. All clients receive this same standard interview. All information gathered is confidential; explain what that means in your facility; who has access to the information and the process for the release of information.

There are two time periods we will discuss:

- 1) The past 30 days
- 2) Lifetime

Client Rating Scale: Client input is important. For each area, I will ask you to use this scale to let me know how bothered you have been by any problems in each section. I will also ask you how important treatment is for you for the area being discussed.

The scale is:

- 0 - Not at all
- 1 - Slightly
- 2 - Moderately
- 3 - Considerably
- 4 - Extremely

Inform the client that he/she has the right to refuse to answer any question.

If the client is uncomfortable or feels it is too personal or painful to give an answer, instruct the client not to answer. Explain the benefits and advantages of answering as many questions as possible in terms of developing a comprehensive and effective treatment plan to help them.

Please try not to give inaccurate information!

When you interview, do not simply record information. Be sure that you understand the intent of every question on the ASI so that you can accurately convey that intent to the client. Probe, repeat, paraphrase until you are sure the client understands what is being asked. Remember that as the interviewer, you are responsible for the integrity of information collected on the ASI.

Monitor the consistency of information provided by the client throughout the interview. It is not acceptable to simply record what is reported.

—Paraphrased from the Preface to the Fifth Edition of the ASI Workbook (Barbara Fureman, Gargi Parikh, Alicia Bragg, and A. Thomas McLellan, University of Pennsylvania/Veterans Administration Center for Studies of Addiction).

INTERVIEWER INSTRUCTIONS:

- 1) Leave no blanks.
- 2) Make plenty of Comments (if another person reads this ASI, they should have a relatively complete picture of the client's perceptions of his/her problems).
- 3) -7 = Question not answered.
-8 = Question not applicable
- 4) When noting comments, please write the question number.

HALF TIME RULE: If a question asks the number of months, round up periods of 14 days or more to 1 month. Round up 6 months or more to 1 year.

CONFIDENCE RATINGS:⇒ Last two items in each section.

- ⇒ Do not over-interpret.
- ⇒ Denial does not warrant misrepresentation.
- ⇒ Misrepresentation = overt contradiction in information.

Probe, cross-check and make plenty of comments!

HOLLINGSHEAD CATEGORIES (Licit work only):

1. **Higher execs, major professionals, owners of large businesses**
2. **Business managers, proprietors of medium-sized businesses** (\$60,000-\$175,000), **lesser professionals** (e.g., optician, pharmacist, social worker, teacher [licensed], personnel manager, registered nurse).
3. **Administrative managers and personnel**, (e.g., appraiser, chief clerk, insurance agent, private secretary, major sales representative), **owners/ proprietors of small businesses** (value under \$60,000; e.g., bakery, beauty shop, cigarette machines, convenience store, engraving business, florist, decorator), **minor professionals** (e.g., actor, commercial artist, credit manager, oral hygienist, piano teacher, reporter, travel agent).
4. **Clerical and sales** (e.g., bank clerk or teller, bill collector, bookkeeper, car sales person, clerical worker, ferry worker, post office clerk, sales clerk, shipping or warehouse clerk, secretary), **technician** (e.g., camp counselor, dental technician, inspector, investigator, PBX operator, window trimmer), **proprietor of little business** (e.g., flower shop, food vendor, newsstand, sewing/tailor).
5. **Skilled manual (usually having had training)**. Baker, chef, cosmetician, barber, chef, electrician, fireman, hair stylist, lineman, locksmith, machinist, massage therapist, mechanic, paperhanger, painter, plumber, policeman, postal carrier, repairman, tailor (trained), word processing.
6. **Semi-skilled**. Apprentice (electrician, printer, etc.), assembly line worker, bartender, bus driver, checker, childcare in home (licensed, trained), cocktail waitress, convenience store clerk, cook (short order), daycare in a center (trained), delivery person, dressmaker (machine), filing clerk, garage and gas station attendant, hairdresser, hospital aide, housekeeper (some training), meter reader, trained nursing home aide, practical nurse, painter, security guard, taxi driver, truck driver, waitress (at one of the "better" places).
7. **Unskilled**. Amusement park workers (bowling alleys, pool rooms), attendant, cafeteria worker, car wash attendants, childcare in home (no training), construction helper, counterperson, domestic, home aide (unlicensed), home piecework, hotel maid (little training), hospital worker (unspecified), janitor, labor (unspecified), laundry worker, messenger, parking lot attendant, porter, telephone solicitor, stock handlers, waitress ("hash house"), welfare recipient. Include unemployed.
8. **Never employed.**

PSYCHIATRIC DIAGNOSES:

See appendix in PCAP-ASI manual (listing by category: p. xii - p. xvii; alphabetic listing: p. xviii - p. xxii).

Note that FAS is a medical, not a psychiatric diagnosis.

ALCOHOL/DRUG USE INSTRUCTIONS:

Alcohol and Commonly Used Drugs: Drug terms and amounts. See appendix in PCAP-ASI manual (p. vi - p. xi).

Code alcohol amounts by equivalent drinks:

Generally, 1 drink = 1 12-oz beer = 1 4-oz wine = 1 1.5-oz hard liquor (i.e., a "single"). A single 40-ouncer is not 1 drink!

The following questions refer to two time periods: the past 30 days and lifetime. Lifetime refers to the time prior to the last 30 days.

- ⇒ 30 day questions only require the number of days used.
- ⇒ Lifetime use is asked to determine extended periods of use.
- ⇒ Regular use = 3+ times per week, binges, or problematic irregular use in which normal activities are compromised.
- ⇒ Alcohol to intoxication does not necessarily mean "drunk." Use the words "to feel or felt the effects," "got a buzz," "high," etc. instead of intoxication. As a rule of thumb, 3+ drinks in one sitting, or 5+ drinks in one day defines "intoxication."
- ⇒ How to ask these questions:
 - "How many days in the past 30 have you used...?"
 - "How many years in your life have you regularly used...?"

GENERAL INFORMATION (cont)

G18a. Do you go to church? How active are you? _____

0 - No, do not go
 1 - Yes, but not very active
 2 - Yes, but sometimes active
 3 - Yes, and very active
 Which church? _____

G19. Have you been in a controlled environment in the past 30 days? _____

1 - No 4 - Medical tx
 2 - Jail/prison 5 - Psychiatric tx
 3 - Alcohol or drug tx 6 - Other (specify below)
 Specify other: _____
A place, theoretically, without access to alcohol/drugs; halfway house generally not controlled environment. If more than one environment, code where majority of time.

G20. How many days? _____

*TOTAL days of past 30 in ALL controlled settings.
 If G19 is No, code -8.*

G19. Is client enrolled in PCAP under a Child Protective Services (CPS) contract condition? _____

0 - No 1 - Yes

GENERAL INFORMATION COMMENTS
 (Include the question number with your notes)

CHILDHOOD HISTORY (cont)	
CONFIDENCE RATINGS	
Is the above information significantly distorted by:	
C13. Client's misrepresentation?	___
0 - No 1 - Yes	
C14. Client's inability to understand?	___
0 - No 1 - Yes	

CHILDHOOD HISTORY COMMENTS	
(Include the question number with your notes)	

